ACTION PLAN IMPLEMENTATION STATUS UPDATE REPORT TO THE AUDIT COMMITTEE AS OF JUNE 30, 2014

Treaties and Aboriginal Government							
Management Practices Audit of the Treaties and Aboriginal Government Sector							
APPROVAL DATE: 20-09-2013							
PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE				
1. The Senior Assistant Deputy Minister, TAG Sector, should improve the existing process to provide for a formal, coordinated, and integrated planning process for the Sector consistent with the principles of the Treasury Board's Integrated Planning Handbook for Deputy Ministers and Senior Managers. The process should include the establishment of Sector objectives, priorities, risk and performance	 Minister, TAG Sector, should improve the existing process to provide for a formal, coordinated, and integrated planning process for the Sector consistent with the principles of the Treasury Board's <i>Integrated Planning Handbook for Deputy Ministers and Senior Managers.</i> The process should include the establishment of Sector objectives, priorities, risk and Planning already in process and to be integrated into AANDC Corporate planning cycles (i.e., Corporate Business Plan; Quarterly Report). As part of broader TAG planning activities, the Federal Action Plan and Priorities (FAPP) will become a standard, central Sector-wide repository database, which will be user friendly and will allow endusers to benefit from sharing data seamlessly. Through its dynamic reporting approach, FAPP will support Sector-wide integrated 	March 31, 2014 March 31, 2014	Status: Pending Implementation Update/Rationale: As of 30/06/2014: Work to improve TAG sector planning is underway with an analysis of all planning activities and how they fit in the annual cycle. Next steps will be determined following the analysis and completed in December 2014. The development of an elaborated FAPP called the RBIS (Results-Based Information System) database is nearing implementation which is targeted for December 2014. The database will help inform monitoring and reporting on the negotiation processes and will track the progress over time.				
aligned and regularly monitored. Improved planning can help to more clearly align, and realign, limited resources to Sector priorities. In addition, to help ensure continued oversight for the		March 31, 2014 TORs for other Sector	Terms of Reference and records of decisions for Sector management committees was completed during Fall 2013. AES: Overdue				

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TAG should ensure that TORs and records of decision for all Sector management committees are documented and properly communicated.	for both the DG and Executive Federal Steering Committee meetings) Measures will be taken to ensure all other Sector management committees have TORs and records of decision.	management meetings will be completed by October 31, 2013				
2. The Senior Assistant Deputy Minister, TAG Sector, should clarify and communicate roles and responsibilities across all Branches within the Sector.	Agreed. SADM, TAG will use the Corporate Business Plan and Quarterly Reports identify roles and responsibilities.	March 31, 2014	Status: Completed Update/Rationale: As of 31/03/2014: The Corporate Business Plan has been updated to clearly identify the roles and responsibilities of the various branches of the Sector. AES: Recommend to close. Closed.			
3. The Senior Assistant Deputy Minister, TAG Sector, should implement an integrated risk management process that formally assesses and documents the risks of the	TAG has a Sector Risk Profile; at operational level, risks are assessed on regular basis and when required by legal service. Risk Management process also through Table Review	March 31, 2014	Status: Completed Update/Rationale: As of 31/03/2014: Various Sector risks are also identified in the Corporate Business Plan as well as the mitigation strategies for managing			

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							Sector against its objectives. Risk assessment should include the impact and likelihood of risks identified with consideration of risk mitigation measures in place. Furthermore risk management should be integrated into operational plans and key decision making processes, such as table review and HR planning etc.	In the renovated FAPP database, risk assessment and mitigation will be incorporated systematically into the table by table planning process. FAPP will also enhance our capacity to monitor and assess risks in respect of policy gaps and requirements.	Ongoing on Annual basis(linked to Corporate Business Plan) March 31, 2014	 those risks. The Table Review process that assesses all sector negotiating tables also contributes to managing risks on a table by table basis. The Results Based Information System, when completed, will incorporate risk assessment and mitigation systematically into the table by table planning process. It will also enhance our capacity to monitor and assess risks in respect of policy gaps and requirements. AES: Recommend to close. Closed.