

**ACTION PLAN IMPLEMENTATION STATUS UPDATE  
REPORT TO THE AUDIT COMMITTEE - AS OF MARCH 31, 2012**

REGIONAL OPERATIONS			
AUDIT OF ON-RESERVE HOUSING (09090) APPROVAL DATE: 24/09/10			
PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE
1. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector should assess and determine the most appropriate means to fund on-reserve housing.	<p>- To support key Departmental objectives of devolution and empowerment for First Nations, ESDPP recommends that communities party to the 1996 housing policy continue to receive block funding. The 220 communities who remain part of the targeted subsidy program will continue to receive project-specific funding.</p> <p>- Regional Operations (RO) will assess the housing program for compliance with the new standardized departmental approach to First Nation funding agreements, specifically the new Transfer Payment Policy which takes into account First Nations' capacity in terms of the community development continuum.</p>	<p>Complete</p> <p>Q4, 2011-12</p>	<p><b>A) Status:</b> no change in status</p> <p><b>Update/Rationale:</b> <b>As of 30/09/2011:</b></p> <p>No change is required to the current housing funding structure.</p> <p><b>B) Status:</b> completed, request to close.</p> <p><b>Update/Rationale:</b> <b>As of 30/09/2011:</b> AANDC's Capital and Facilities and Maintenance Program, of which housing funding is a part, has been assessed for compliance with the new Policy on Transfer Payments. First Nations recipients have been assessed for capacity and risk using the General Assessment, and funding relationships have been tailored to the results.</p> <p><b>AES: Close – Fully Implemented</b></p>
2. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector should identify and implement a methodology for allocating housing funds that reflects housing needs at both the regional and First Nation community level.	<p>- In response to the new Transfer Payment Policy, ESDPP, in collaboration with the CFO, RO and Regional Offices will explore options to enhance the methodology and process for allocating CFM Program funding (core and non-core) from HQ to regions.</p> <p>- ESDPP, in partnership with RO, will</p>	Q3, 2011-12	<p><b>a) Status:</b> close</p> <p><b>Update/Rationale:</b> <b>As of 31/03/2012:</b> As per September 30/2012 update this item was recommended to close.</p> <p><b>b) Status:</b> on-going</p> <p><b>Update/Rationale:</b> <b>As of 31/03/2012:</b> Preliminary discussion on the review of minor capital processes is underway.</p>

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	participate in a minor capital working group with Regions to review minor capital processes and develop strengthened procedures for managing minor capital funding.	Q3, 2011-12	<b>AES: Substantially implemented – Findings in Attawapiskat audit and other projects will be taken into account to strengthen procedures in minor capital funding.</b>
3. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector, in collaboration with the Senior Assistant Deputy Minister, Regional Operations Sector, should conduct a review of the performance indicators and related information to be collected for on-reserve housing to ensure that they are aligned with the department's housing program design, and that they can demonstrate achievement of expected outcomes.	- ESDPP, with the support of RO, will ensure Key Performance Indicators (KPIs) for housing align more closely with the program design and AANDC's roles and responsibilities.	Q1, 2011-12	<b>Status: Completed</b>  <b>Update/Rationale:</b> <b>As of 31/03/2011:</b>  <b>AES: Close – Fully Implemented</b>
4. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector, in collaboration with the Senior Assistant Deputy Minister, Regional Operations Sector, should develop and implement a	- ESDPP, in partnership with RO, is working to implement the Performance Management Strategy and Risk Management Framework, which detail oversight and quality assurance functions for Headquarters and Regions	Q2, 2011-12	<b>A) Status: close</b> <b>Update/Rationale:</b> <b>As of 31/03/2012 request to close as per September 30/11 update.</b>

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risk management framework specific to on-reserve housing, including a formal monitoring or compliance audit / housing inspections process.	<p>for the CFM Program.</p> <p>- ESDPP, in partnership with RO, will work with CFO to develop mechanisms to improve the monitoring of minor capital and operations and maintenance expenditures relevant to housing that are realistic, efficient and based on program and recipient risk.</p> <p>- For First Nations in BC Region under the housing subsidy program, the submission of inspection reports from a building inspector confirming that homes have been built or renovated to code will continue to be a requirement for First Nations to remain eligible for future AANDC funding.</p>	<p>Q2, 2011-12</p> <p>Complete</p>	<p><b>B) Status:</b> on-going, expected completion date <b>Q1, 2012-2013.</b></p> <p><b>Update/Rationale:</b> <b>As of 31/03/2012:</b> Performance indicators to be reported on in 2015. Self reported "adequate housing" is completed; housing sub-text of community well-being index is dependent on Statistics Canada Census data; and Valid Housing Plan is awaiting regional input on content in order to develop a finalized definition. Ongoing Regional and community oversight visits are being conducted to assess the management of the CFMP.</p> <p><b>C) Status:</b> close <b>Update/Rationale:</b> <b>As of 31/03/2012:</b> requested to close as per September 30, 2011 update.</p> <p><b>AES: Substantially Implemented. Recommended to be closed.</b></p>
5. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector should ensure that comprehensive national guidelines for the management of the housing component of the CFM	- The housing portion of the CFMP includes minor capital funding for First Nations covered by the 1996 Housing Policy and Direct Proposal driven housing subsidies for First Nations that	Q2, 2011-12	<p><b>A) Status:</b> on-going, expected completion date <b>Q2, 2012-2013.</b></p> <p><b>Update/Rationale:</b> <b>As of 31/03/2012</b> AANDC has compiled detailed Roles and Responsibilities. Assembly of First Nations</p>

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Program are developed and disseminated.	<p>did not adopt the 1996 Policy. A practical guidelines document on key housing policy and implementation issues will be developed and disseminated.</p> <p>- In addition to the CFMP, Ministerial Loan Guarantees (MLGs) are an essential component in facilitating the building of social housing (CMHC Section 95) on reserve. Given their importance and the need for consistency in implementation, priority was given to the revision and clarification of MLG policy and the development of the National MLG Manual.</p>	Complete	<p>to convene a meeting of the working group to develop a joint summary document on the roles and responsibilities of key housing players. Toolkit completed and disseminated.</p> <p><b>B) Status: Close</b>  <b>Update/Rationale:</b>  <b>As of 31/03/2012:</b> as per September 30/12 update, this is recommended to be closed.</p> <p><b>AES: Implementation is on-going. Recommendation will be closed once guidelines for housing component of CFMP are completed and communicated across the Department.</b></p>
6. The Senior Assistant Deputy Minister, Regional Operations Sector, in collaboration with the Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector, should ensure that the risk that First Nations' housing does not transfer to individual homeowners as required for Market Based Housing	- AANDC has already taken significant measures to mitigate this risk by conducting workshops in Regions and with First Nations on how to successfully implement the Conversion to Market Based Housing element of CEAP. This included providing template samples of transfer documents for use by First	Q3, 2010-11	<p><b>Status: Completed</b></p> <p><b>Update/Rationale:</b>  <b>As of 31/03/2011:</b></p> <p>Monitoring conducted but no Regions have identified need for a risk management plan.</p>

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projects, is appropriately managed.	<p>Nations.</p> <p>- RO staff actively monitors issues linked to the market based housing element.</p> <p>- ESDPP and RO will continue to monitor and develop a risk management plan as required.</p>	<p>Q4, 2010-11</p> <p>Q3, 2010-11</p>	<p><b>AES: Close – Fully Implemented</b></p>
7. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector, in collaboration with the Senior Assistant Deputy Minister, Regional Operations Sector, should ensure that the development of project assessment and funding decision methodologies reflects regional requirements in the design of future programs/initiatives similar to housing funded by CEAP.	<p>- AANDC's new Management Control Framework (MCF) clarifies roles, responsibilities and approval processes in parallel with CEAP implementation. RO continues to work with Regions to fully implement the MCF and will communicate feedback from Regions to ESDPP for consideration in future revisions.</p> <p>- ESDPP and RO are documenting lessons learned from CEAP projects in order to be fully prepared if new project based funding program opportunities occur.</p>	<p>Q4, 2010-11</p> <p>Q4, 2010-11</p>	<p><b>Status: Completed</b></p> <p><b>Update/Rationale:</b> <b>As of 31/03/2011:</b></p> <p>New MCF to be provided to Ops Committee scheduled for, April 2011.</p> <p><b>AES: Closed with confirmation of date and approval of Operations Committee.</b></p>