PSD, RO, NAO, CFO

Follow-up Audit of Capacity Development

APPROVAL DATE: 20-09-2013				
PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE	
 AANDC should strengthen the focus on capacity development in its proposed policies and program activities through the following actions: The Senior ADM PSD, with the support of the Senior ADM RO, the CFO, and the ADM NAO, should work with all ADMs to establish departmental 	i. Coordinate the development and approval through the Operations Committee of core principles to guide the department in establishing key priorities for capacity development programming.	Spring 2014	i. Ongoing As of 30/09/2014: Following an extensive internal departmental consultation process, four proposed principles were developed to guide internal priority setting and decision-making. Operations Committee's review of the principles has been scheduled for Wednesday, October 1, 2014.	
capacity development priorities and/or principles to guide the Department in making improvements to its capacity development policies and program activities. Due consideration should be given to opportunities for each program to enforce synergies with other programs and support the	ii. Following approval of the key principles related to capacity development, work to align implementation with existing strategic investment planning and policy approval processes.	Fall 2014	 ii. Ongoing As of 30/09/2014: Initial recommendations for implementation mechanisms and processes have been developed for Operations Committee's information on October 1, 2014. Target date for their finalization is December 31, 2014. AES: Underway 	

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APPROVAL DATE: 20-09-2013			
PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE
broader capacity			
development needs of First			
Nations communities,			
people, institutions and			
professional organizations.			
ii. The Senior ADM PSD, with			
the support of the Senior			
ADM RO, the CFO, and			
the ADM NAO, should			
work with all ADMs to			
ensure that the policy			
development and program			
design and approval			
functions of the			
Department include an			
appropriate process and			
challenge function to			
ensure that the			
Department's capacity			
development principles			
and/or priorities are			
considered and reflected in			
all policy and program			
proposals, and that			

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PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE
planned capacity development activities are sufficient to achieve the Department's capacity development priorities.			
2. The Senior ADM PSD, with support of all AANDC senior executives, should: i. Facilitate the establishment of research and data analysis priorities to support the Government of Canada in improving First Nations capacity development approaches and programming; and	i. Develop options for the development of a strategic research plan that will outline research priorities. Lead on production of the 2011 Community Well-Being Index based on data from the NHS. As a member of the	Spring 2014 Spring 2014	i(a) Complete As of 30/09/2014: After completing the report in Spring 2014, AANDC's Strategic Research Directorate was asked to undertake a second round of consultations on the options paper, which took place over the course of June-August 2014 using GCconnex and GCpedia. New commentary was integrated and the paper finalized in early September. Consideration of options to collect information on departmental investments in research are part of the above mentioned options paper.
ii. Review and clarify the department's role as a coordinator and facilitator of research and	interdepartmental ADM committee on the use of administrative data, promote the need for and the use of data related to Aboriginal peoples.	Fall 2014	i(b) Complete As of 30/09/2014: The Community Wellbeing Index was completed in spring 2014. Public release is pending.

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PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE
programming focused on First Nations capacity development, with other Government departments, academia and other stakeholders interested in researching and investing in First Nations capacity development.	ii.Facilitate discussions around aboriginal research, data collection and information management, including issues related to capacity development, thru the Aboriginal Information Management Committee, which meets periodically. The committee includes representatives of federal departments with aboriginal mandates and NAOs. Develop options to collect information on departmental investments in research.	Winter 2014 Fall 2014	i(c) Complete The Committee's final December 2013 briefing included AANDC perspectives on the limitations of using administrative data to support performance measurement and evidence-based decision-making as it relates to Aboriginal people. ii. Ongoing As of 30-09-14: Two AIMC meetings have taken place in 2014 (on February 19, 2014, and on October 1, 2014). The latter meeting has focused on four topics: 1) Inuit health: Selected findings from the 2012 APS, 2) Update on the First Nations Regional Early Childhood Education and Employment Survey, 3) Update on Phase III of the First Nations Regional Health Survey, and 4) Aboriginal Data Framework. The meeting concluded with a round table updates from participating departments and organizations. The next meeting is planned around February 2015 and will be hosted and chaired by Public Safety Canada. AES: Underway

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PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE
3. The Chief Financial Officer, with support of the Senior ADM RO and ADM NAO, should review and improve linkages between the General Assessment, Default Prevention and Management regime and capacity development program activities to ensure that First Nations with the greatest capacity development needs and potential are given appropriate focus by regions and programs.	The Chief Financial Officer (TPCOE), the Senior ADM RO Sector and the ADM NAO will work together to develop a national Case Management Approach including a template. This will be a formal, documented process to ensure that particular concerns and recipient capacity issues are brought to the attention of Senior Management at an overall national review at least twice a year, for information and decisions on actions required	November 2013	Status: Request to Close - Completed Update/Rationale: As of 31/12/2013: The Chief Financial Officer in working with the Senior ADM-RO and the ADM-NAO developed a national Case Management approach, including a template and tools to support implementation (e.g., bulletin and frequently asked questions). Currently, each region implements its own version of a Case Management approach. The Chief Financial Officer sector has proposed a standard national Case Management approach to have a consistent national framework. We will learn from this experience through regions and refine it accordingly. AES: Implemented. The recommendation will be closed. Closed.
The Senior ADM RO, with support of the Chief Financial	The Senior ADM RO will lead an analysis of AADNC's investments in capacity development across	December 2014	

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PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE
Officer, Senior ADM PSD and program ADMs, should analyze the Department's capacity development investments across regions and programs to determine whether program and community-level approaches and funding allocations are informed by the capacity-related needs of communities, considerate of risk, and aligned with departmental priorities for capacity development.	regions. Results and recommendations will be presented to a senior governance committee for approval, with plan to better align spending with capacity development objectives and recipient need.		
Based on the results of analysis, and guided by AANDC's immediate capacity development priorities, the Senior ADM RO and program ADMs, with support of the Chief Financial Officer, should act upon opportunities to strengthen capacity development activities within each AANDC program.			