

**ACTION PLAN IMPLEMENTATION STATUS UPDATE
REPORT TO THE AUDIT COMMITTEE
AS OF DECEMBER 31, 2014**

Human Resources and Workplace Services Branch			
<i>Audit of Delegation of Authorities, Organization Design and Classification</i>			
APPROVAL DATE: 27/06/14			
PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE
<p>1. The Director General of Human Resources and Workplace Services Branch (HRWSB) should ensure that risk levels for staffing and classification activities requiring Workforce Management Board (WMB) approval are reviewed and updated periodically and given that the WMB is now a permanent governance structure within AANDC, articulate how the mandate of WMB relates to the Sub-Delegation Instrument Delegation of Authority for Human Resources.</p>	<p>The risk levels are reviewed periodically to adjust according to the level of change management and evolution of practices in the department. Given that WMB is now a permanent fixture of the Department, the Sub-Delegation instrument will be reviewed to ensure alignment. In the interim, a reference to WMB will be added to the instrument.</p>	<p>Q1, 2014/2015</p>	<p>Status: <i>Completed</i></p> <p>Update/Rationale: As of 30/06/2014:</p> <p>Risk Levels for staffing activities requiring WMB approval have been reviewed in June 2014 (many actions have been moved to Risk Level 1 (low risk)).</p> <p>The Sub-Delegation instrument has been adjusted and signed by the Deputy Minister (Colleen Swords) on July 23, 2014. Reference to WMB can be found on page 8 and 40.</p> <p>AES: Completed. Recommend to Close. Closed.</p>

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<p>2. The Director General of Human Resources and Workplace Services Branch should ensure that process expectations, including those used in organizational design, are clear, formally documented, and communicated to the appropriate individuals to ensure consistency in operational practices and to reduce the amount of data entry errors. In addition, appropriate and timely financial and non-financial status reporting on requested classification actions should be communicated to all interested parties, beyond just the HR community (i.e. to clients of the HR services).</p>	<p>As part of the HR Service Centres' review, process, procedures and responsibilities will be looked at to ensure they are clear.</p> <p>Communication with clients will be enhanced to reflect not only process expectations from an AANDC perspective, but also to ensure continuous alignment with Common HR Business Process which is a government-wide initiative effective as of April 1, 2014.</p>	<p>Q1, 2014/2015 and ongoing</p>	<p>Status: <i>Underway</i></p> <p>Update/Rationale: As of 31/12/2014:</p> <p>The report containing analysis, recommendations and options was shared with RDG's by e-mail sent on December 15, 2014 for their review. A conference call was scheduled for January 29, 2015 to get RDG's impressions in line with the suggested recommendations and options.</p> <p>HRWSB members will be briefed on the results and recommendations in Q4 of 2014/15.</p> <p>AES: Ongoing.</p>
<p>3. The Director General of Human Resources and Workplace Services Branch should ensure that operational practices are adequately documented and communicated and adequate controls are in place to improve the accuracy and consistency in file management practices</p>	<p>Check list will be developed and continuous feedback will be provided to HR advisors and managers during processes, and following quality assurance reviews.</p>	<p>Ongoing</p>	<p>Status: <i>Completed</i></p> <p>Update/Rationale: As of 30/09/2014:</p> <p>All regional Classification resources have been transferred to the Classifications CoE. Standardized operational processes, to improve the accuracy and consistency in file</p>

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among HR Service Centres.			management practices across the country, are being developed and implemented. This will include working in close collaboration with corporate staffing. AES: Completed. Recommend to close. Closed.
4. The Director General of Human Resources and Workplace Services Branch should ensure the quality assurance approach includes a more efficient risk-based sampling approach, and improve the effectiveness of the QA process through supervisory review of testing results, establish a process for follow up on identified issues, and update work descriptions for those conducting quality assurance activities.	As part of the Branch reorganisation, the Quality Assurance services are under review. Adjustments to QA methodology and job descriptions will be done as a result of this review as required.	Q1 & Q2, 2014/2015	Status: <i>Completed</i> Update/Rationale: As of 30/09/2014: The classification monitoring function has been transferred to the Classification CoE. As a result, the monitoring approach and techniques are under review. In essence, the CoE will keep performing regular monitoring exercises to ensure classification activities are performed according to procedures and policies. Monitoring activities includes random sampling of classification files, evaluations, checklists, work descriptions, etc. The information collected will serve in making recommendations to be communicated to the Classification team.

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	part of regular processes.		<p>update the chart. Any feedback provided by functional managers will be analysed and actions will be taken when necessary.</p> <p>AES: Completed. Recommend to Close. Closed.</p>