

# FIRST NATIONS INFRASTRUCTURE INVESTMENT PLAN ANNUAL REPORT

## DCI Number/Fiscal Year:

460674 (2019-2020)

## Purpose:

The First Nations Infrastructure Investment Plan (FNIIP) – Annual Report is an annual report which details a First Nation community's infrastructure needs, plans and previous year's accomplishments, and captures projects that cross over fiscal years. An updated report must be prepared once each year before capital funds are released to First Nations. This report **shall only include** eligible projects under the Capital Facilities and Maintenance Program. The report shall only include the following projects:

- All projects that a First Nation is planning to undertake with their Indigenous Services Canada (ISC) Formula-Based Capital "Band Base" Allocation or the "Block" funding.
- Proposal-based or Formula-based projects towards which a First Nation is seeking ISC funding/contribution. These projects will be assessed regionally against priority and are subject to available funding.
- All Asset Condition Reporting System projects, except for routine maintenance activities (Asset Condition Reporting System - Group 1) which should be addressed as part of your regular annual Operation and Maintenance funding allocations and do not require line by line input in the First Nations Infrastructure Investment Plan. These shall be rolled up into one line item broken out by project category. For more information on the Asset Condition Reporting System Groups and Project Category, please see sections 2.1 and 2.3 of the instructions.

## Note:

Entries into the First Nations Infrastructure Investment Plan should be based on existing community plans such as comprehensive community plans, asset management plans, and major maintenance requirements in maintenance management plans.

## Reporting Period:

The previous reporting year, current fiscal year (to-date), remaining fiscal year ending March 31 and the next four years

## Due Date:

September 30, each year

## How to Enter a Project:

The table provided in the Reporting Guide is a sample only. ISC regions will be supplying First Nations with a pre-populated First Nation Infrastructure Investment Plan Excel Workbook generated from the Integrated Capital Management System. The worksheet is generated on a recipient-by-recipient basis with each Band receiving a personalized version for its own projects.

First Nation Infrastructure Investment Plan Excel Workbook includes three Excel worksheets:

### Worksheet 1:

Funding Requests: Provides the list of project that have been previously submitted and entered into the Integrated Capital Management System

Use the Validation of Projects drop down field to identify "kept", "closed", "deleted" or "edited". The purpose of this exercise is to ensure that previously submitted projects are still valid.

For new projects, funding requests may be made beneath the list by filling out the appropriate details in each column. There are two types of projects that ISC considers relevant for the purpose of input into the Funding Requests Excel worksheet:

- a) ISC Proposal-based Projects: These Projects are funded by virtue of application to the Capital Facilities and Maintenance Program. These projects may include funding from other sources including First Nation's own source revenue, the Province or other sources such as ISC Formula-based Capital or Block.
- b) ISC Formula-based Capital Projects: These projects are undertaken at the First Nation level using funding that is allocated as part of a First Nation's Formula-based Funding or their Block.

**Note:** It is also important to identify which projects directly respond to an Asset Condition Reporting System need and to specify whether this project will remediate Health and Safety deficiencies. Please refer to the Priority Asset Condition Reporting System Deficiencies worksheet of the First Nation Infrastructure Investment Plan Excel Workbook which may help you identify and address Priority Asset Condition Reporting System deficiencies that require investment.

**Worksheet 2:**

Proposed ISC Funding: Provides a reference-only display of the current proposed funding amounts for the existing projects as listed in the Regional First Nation Infrastructure Investment Plan at the time the Excel worksheet was exported from the Integrated Capital Management System. This is an optional worksheet to be provided to the First Nation at regional office discretion.

**Worksheet 3:**

Priority Asset Condition Reporting System Deficiencies: Provides a reference-only display of the currently recorded Asset Condition Reporting System Health and Safety deficiencies associated with infrastructure assets, for action and incorporation as projects into Funding Requests, as applicable.

**Field Definitions:**

This information comes from the Integrated Capital Management System (ICMS).

Field	Definition
<b>1. Validation of Projects and Funding Request</b>	
(First Nation Infrastructure Investment Plan Excel worksheet, for reference only)	
Validation of projects	To identify if existing projects are: Keep Close Delete Edited
Recipient	Recipient is a Band, Tribal Council or an Other Organization. <b>Note:</b> This field is pre-populated for existing projects.
Integrated Capital Management System Project Number	ICMS Project Number is the number that ICMS assigns all new projects for project identification. <b>Note:</b> This field is pre-populated for existing projects. Leave blank for new projects. This number will be generated when the project is entered into Integrated Capital Management System.
Recipient Project Number	Recipient Project Number is a number assigned by the recipient.
Recipient Priority	Recipient Priority is the priority of the project according to the funding recipient.

Field	Definition
Project Name	Project Name is a brief, descriptive name for the project.
Project Description/Comments	Project Description is for additional comments and a more detailed description of the project.
Funding Stream	<p>Funding Stream is used for high-level reporting of the type of investments made.</p> <p>Select one of:</p> <ul style="list-style-type: none"> <li>4 - Major Capital</li> <li>5 - Minor Capital</li> <li>6 - Minor Capital Formula</li> <li>7 - Operation and maintenance</li> </ul> <p>Major Capital (Proposal-based): Funding for specific proposal-driven construction, acquisition, renovation or significant repair projects for on-reserve assets, valued above \$1.5 million.</p> <p>Minor Capital (Proposal-based): Funding for specific proposal-driven construction, acquisition, renovation or minor repair projects for on-reserve assets, valued below \$1.5 million.</p> <p>Minor Capital (Formula-based): Funding allocation generated by way of formula given directly to First Nations for the construction, acquisition, renovation or minor repair of on-reserve assets including housing.</p> <p>Operation and Maintenance (Formula-based): Funding allocation generated by way of formula and given to First Nations for the operation and maintenance of existing community infrastructure assets. The level of funding provided to the First Nation varies from 20% to 100% depending on asset type.</p>
Project Category	<p>Project Category indicates what type of infrastructure, assets, or community service is being funded.</p> <p>Select one of:</p> <ul style="list-style-type: none"> <li>Unreviewed</li> <li>12 - Custodial Assets</li> <li>10 - Water and Wastewater</li> <li>8 - Education Facilities</li> <li>11 - Other Community Infrastructure</li> <li>2 - Contaminated Sites</li> <li>6 - Housing</li> </ul>
Total Estimated Cost	<p>Total Estimated Cost represents the current estimated total project cost regardless of the anticipated source of funding. A number from 0.00 to 999,999,999.99</p> <p><b>Note:</b> This field is pre-populated for existing projects.</p>

Field	Definition
Total Other Funding Sources	Total Other Funding Sources represents the total amount of funding the First Nation anticipates to receive for this project from sources other than ISC. A number from 0.00 to 999,999,999.99 <b>Note:</b> This field is pre-populated for existing projects.
Total Funding Request - 2019-2020	A number from 0.00 to 999,999,999.99 <b>Note:</b> This field is mandatory for multi-year projects. Single-year projects are required to provide information only for the year where funding applies.
Total Funding Request - 2020-2021	A number from 0.00 to 999,999,999.99 <b>Note:</b> This field is mandatory for multi-year projects. Single-year projects are required to provide information only for the year where funding applies.
Total Funding Request - 2021-2022	A number from 0.00 to 999,999,999.99 <b>Note:</b> This field is mandatory for multi-year projects. Single-year projects are required to provide information only for the year where funding applies.
Total Funding Request - 2022-2023	A number from 0.00 to 999,999,999.99 <b>Note:</b> This field is mandatory for multi-year projects. Single-year projects are required to provide information only for the year where funding applies.
Total Funding Request - 2023-2024	A number from 0.00 to 999,999,999.99 <b>Note:</b> This field is mandatory for multi-year projects. Single-year projects are required to provide information only for the year where funding applies.
Total Funding Request - Years 5+	<b>Note:</b> This field is pre-populated for existing projects.
<p><b>2. Proposed ISC Funding</b> (First Nation Infrastructure Investment Plan Excel worksheet, for reference only)</p>	
Integrated Capital Management System Project Number	Integrated Capital Management System Project Number is the number that Integrated Capital Management System assigns all new projects for project identification
Project Name	Project Name is a brief, descriptive name for the project.
Project Description/Comments	Project Description is for additional comments and a more detailed description of the project.

Field	Definition
Funding Stream	<p>Funding Stream is used for high-level reporting of the type of investments made. Select one of: 4 - Major Capital 5 - Minor Capital 6 - Minor Capital Formula 7 - Operation and maintenance</p> <p>Major Capital (Proposal-based): Funding for specific proposal-driven construction, acquisition, renovation or significant repair projects for on-reserve assets, valued above \$1.5 million.</p> <p>Minor Capital (Proposal-based): Funding for specific proposal-driven construction, acquisition, renovation or minor repair projects for on-reserve assets, valued below \$1.5 million.</p> <p>Minor Capital (Formula-based): Funding allocation generated by way of formula given directly to First Nations for the construction, acquisition, renovation or minor repair of on-reserve assets including housing.</p> <p>Operation and Maintenance (Formula-based): Funding allocation generated by way of formula and given to First Nations for the operation and maintenance of existing community infrastructure assets. The level of funding provided to the First Nation varies from 20% to 100% depending on asset type.</p>
National Priority Ranking Framework Priority Ranking	National Priority Ranking Framework Priority Ranking is derived by the combination of the National Priority Ranking Framework Asset Category and the National Priority Ranking Framework Program Activity.
Total Estimated Cost	Total Estimated Cost represents the current estimated total project cost, regardless of the anticipated source of funding.
Proposed ISC Funding 2019-2020	A number from 0.00 to 999,999,999.99
Proposed ISC Funding 2020-2021	A number from 0.00 to 999,999,999.99
Proposed ISC Funding 2021-2022	A number from 0.00 to 999,999,999.99
Proposed ISC Funding 2022-2023	A number from 0.00 to 999,999,999.99
Proposed ISC Funding 2023-2024	A number from 0.00 to 999,999,999.99

### 3. Priority Asset Condition Reporting System Deficiencies

(First Nation Infrastructure Investment Plan Excel worksheet, for reference only)

Band Number	The number assigned to the band by ISC.
Band Name	The official name of the band.
Site Number	The number assigned to the site by ISC.
Site Name	The legal name of the site or reserve.

Field	Definition
Asset Number & Asset Extension Number	The asset number associated with the Asset Condition Reporting System Project.
Asset Name	The official name of the asset.
Deficiency Number	The number assigned to the deficiency by ISC.
Deficiency Description	Detailed description of the deficiency.
Identified Year	The fiscal year in which the deficiency was first identified.
Completion Status	0 - Outstanding 1 - Completed 2 - Cancelled Only the "Outstanding" deficiencies for your ISC-funded infrastructure assets are displayed on the Priority Asset Condition Reporting System Deficiencies Excel Worksheet.
Group	1 - Operations and Maintenance 2 - Maintenance 3 - Other  Group 1: Operations and Maintenance projects are projects that are routine maintenance activities and are normally covered by your annual Operations and Maintenance budget. Those should be addressed as part of your regular annual Operations and Maintenance funding allocations and do not require any First Nation Infrastructure Investment Plan input on the Funding Request tab.  Group 2: Maintenance projects involve the major repair or replacement of a major component of an asset (e.g., a pump in a water treatment plant) when that component has reached the end of its useful life, or has deteriorated to a state beyond normal. Those projects may require funding - if this is the case, please add new rows on the Funding Request Tab. One row (Asset Condition Reporting System project funding request) can cover multiple deficiencies  Group 3: Other projects consist of studies to resolve certain technical problems, projects for the complete replacement or reconstruction of a system, upgrades that may be required due to the ineffectiveness of the existing system to deliver the required service. Those projects may require funding - if this is the case, please add new rows on the Funding Request Tab. One row (Asset Condition Reporting System project funding request) can cover multiple deficiencies.
Category	1 - Minor Repairs 2 - Major Repairs 3 - Component Replacement 4 - Study 5 - Asset Replacement 6 - Asset Upgrade

Field	Definition
Type	<p>1 - Health and Safety  2 - Restore Utility  3 - Arrest Deterioration  4 - Operational  5 - Conform to Code</p> <p>Only the "Health and Safety" Asset Condition Reporting System deficiencies associated with your infrastructure assets appear on this worksheet. These represent priority deficiencies to be addressed, and that are brought to your attention for action. To get the complete list of Asset Condition Reporting System deficiencies please refer to your latest Asset Condition Reporting System Projects Annual Report.</p>
Urgency	<p>0 - Immediate  1 - Current Fiscal Year + 1 year  2 - Current Fiscal Year + 2 years  3 - Current Fiscal Year + 3 years  4 - Current Fiscal Year + 4 years</p>
Remarks and Recommendations	Any remarks regarding asset deficiencies or recommendations.